



#4

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Attn: Box Missing Parts

Hidekazu KOBAYASHI

Application No.: 09/925,320

Filed: August 10, 2001

Docket No.: 110372

For: METHOD FOR MANUFACTURING ORGANIC EL DEVICE, ORGANIC EL DEVICE,
AND ELECTRONIC APPARATUS

**RESPONSE TO NOTICE TO FILE MISSING PARTS
WITH DECLARATION AND TRANSLATION**

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on September 14, submitted herewith are the executed Declaration of the inventor and, upon information and belief, an accurate translation of the application. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application. Also, submitted herewith are 7 sheets of formal drawings (Figs 1-9) in compliance with 37 C.F.R. 1.84.

	(Column 1)	(Column 2)	(Column 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER TRANSLATION	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'L FEE	OR	RATE	ADD'L FEE
TOTAL CLAIMS	*15 MINUS	**20	=0	x 9	\$		x 18	\$
INDEP CLAIMS	*4 MINUS	***3	=0	x 42	\$		x 84	\$ 84
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ 140	\$	OR	+280	\$
					\$			\$ 84

- ☒ Check No. 124858 in the amount of \$84.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

- * If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

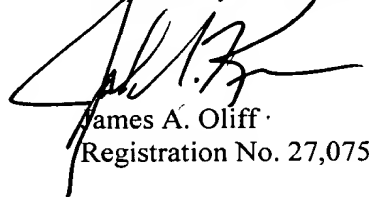
Attached is our Check No. 124861 for ☒\$260.00 ☐\$195.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.16(e) and §1.17(k) and any excess claim fees noted above.

Applicant submits that a Japanese-language Abstract was filed with the original Application. We attach a copy of the Japanese-language Abstract and PTO date stamp receipt. We also enclose an English-language copy of the Abstract attached to the translation filed herewith.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notice to File Missing Parts. Examination and allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

John S. Kern
Registration No. 42,719

JAO:JSK/kap

Attachment:

English-language Translation with Abstract and drawings (Figs. 1-9)

Date: November 26, 2001

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>

PTO RECEIPT FOR FILING OF PAPERS

The following papers have been filed:

Trans. Ltr. & Ck 121734 \$710, 17 pp. Japanese spec., 20 claims, Abst., 7 sheets dwgs (1-9)

Name of Applicant: Hidekazu KOBAYASHI

Serial No.: New U.S. Patent Application

Atty. File No.: 110372

Title (New Cases): METHOD OF MANUFACTURING ORGANIC EL APPARATUS,
ORGANIC EL APPARATUS, AND ELECTRONIC DEVICE

Sender's Initials: JAO:EDM/cmm



36/32

PATENT OFFICE DATE STAMP

29

**COPY TO BE STAMPED BY PATENT OFFICE
AND RETURNED BY MESSENGER**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,320	08/10/2001	Hidekazu Kobayashi	110372

CONFIRMATION NO. 4783

25944
OLIFF & BERRIDGE, PLC
277 S. WASHINGTON STREET, SUITE 500
ALEXANDRIA, VA 22314

FORMALITIES LETTER



OC000000006556657

11/27/2001 SFELEKE1 00000100 09925320

01 FC:102
02 FC:105
03 FC:139

84.00 OP Date Mailed: 09/14/2001
130.00 OP
130.00 OP

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/27/2001 SFELEKE1 00000087 09925320
FILED UNDER 37 CFR 1.53(b) 12/07/2001 NABAWI 00000003 150461 09925320

Filing Date Granted

01 FC:103 18.00 CH
02 FC:104 200.00 CH

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English

Void date: 11/27/2001 SFELEKE1 9925320
11/27/2001 SFELEKE1 00000087 -84.00 OP
01 FC:102 -130.00 OP
02 FC:105 -130.00 OP
03 FC:139

alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

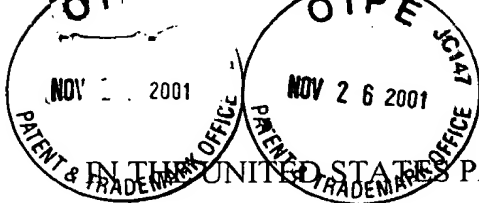
A copy of this notice MUST be returned with the reply.

Wed Wen Thu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PATENT APPLICATION

In re the Application of

Hidekazu KOBAYASHI

Application No.: 09/925,320

Docket No.: 110372

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For: METHOD FOR MANUFACTURING ORGANIC EL DEVICE, ORGANIC EL
DEVICE, AND ELECTRONIC APPARATUS

2832.

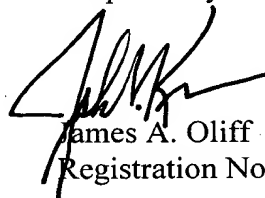
REQUEST FOR CORRECTION OF PALM RECORDS

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. We also attach a substitute Application Data Sheet. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

John S. Kern
Registration No. 42,719

Date: November 26, 2001

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/925,320	08/10/2001	2832	710	110372	7	1	1

CONFIRMATION NO. 4783

25944

OLIFF & BERRIDGE, PLC
277 S. WASHINGTON STREET, SUITE 500
ALEXANDRIA, VA 22314

SEP 17 2001

FILING RECEIPT



OC000000006556656

Date Mailed: 09/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hidekazu Kobayashi, ~~Gwa-shi~~, JAPAN;

Hara-mura

Assignment For Published Patent Application

SEIKO EPSON CORPORATION, ~~Shinjuku-ku~~, JAPAN;

Tokyo

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-244591 08/11/2000
JAPAN 2001-244851 08/10/2001

If Required, Foreign Filing License Granted 09/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method of manufacturing organic EL apparatus; organic EL apparatus and electronic device

for

Device

Device,

Apparatus

Preliminary Class

RECEIVED
DEC 12 2001
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